

## On the stability of basisness in $L_p$ ( $1 < p < +\infty$ ) of cosines and sines

*Ali A. Huseynli*

### Abstract

We study the basis properties in  $L_p(0, \pi)$  ( $1 < p < \infty$ ) of the solution system of Sturm–Liouville equations with different types of initial conditions. We first establish some results on the stability of the basis property of cosines and sines in  $L_p(0, \pi)$  ( $1 < p < \infty$ ) and then show that the solution system above forms a basis in  $L_p(0, \pi)$  if and only if certain cosine system (or sine system, depending on type of initial conditions) forms a basis in  $L_p(0, \pi)$ .

**Key Words:** Bases of cosines and sines, Sturm–Liouville equation

Denote by  $u(x, \lambda)$  and  $v(x, \lambda)$  the solutions of Sturm–Liouville equation

$$-y'' + q(x)y = \lambda^2 y$$

satisfying the initial conditions

$$y(a) = 1, \quad y'(a) = \sigma$$

and

$$y(a) = 0, \quad y'(a) = \lambda,$$

respectively.

The problem of finding complex sequences  $\{\lambda_n\}$  for which the systems  $\{u(x, \lambda_n)\}$  and  $\{v(x, \lambda_n)\}$  form a basis in some functional space is very important. In [1] it was proved that the system  $\{u(x, \lambda_n)\}$  (respectively,  $\{v(x, \lambda_n)\}$ ) forms a Riesz basis in  $L_2(0, \pi)$  if and only if the system  $\{\cos \lambda_n x\}$  (respectively,  $\{\sin \lambda_n x\}$ ) forms a Riesz basis in  $L_2(0, \pi)$ . In this paper we present a generalization of this result for  $L_p(0, \pi)$  ( $1 \leq p < +\infty$ ) spaces. More precisely, we prove that the system  $\{u(x, \lambda_n)\}$  (respectively,  $\{v(x, \lambda_n)\}$ ) forms a basis in  $L_p(0, \pi)$  ( $1 \leq p < +\infty$ ) if and only if the system  $\{\cos \lambda_n x\}$  (respectively,  $\{\sin \lambda_n x\}$ ) forms a basis in  $L_p(0, \pi)$ . We also present an elementary proof based on transformation operators from the spectral theory of differential operators (see, e.g., [6]).

The structure (e.g. completeness, basis or frame properties) of the systems  $\{\cos \lambda_n x\}$  or  $\{\sin \lambda_n x\}$  in  $L_p(0, \pi)$  is closely related with the structure of exponential systems  $\{e^{\pm i\lambda_n x}\}$  in  $L_p(-\pi, \pi)$ . The study of

exponential systems, often referred to as the theory of nonharmonic Fourier series (see [4, 7, 9, 10, 11]), has its origins in the classical works of R. Paley and N. Wiener [7] and N. Levinson [4]. One of the famous early results in the theory is that the basis property of the trigonometric system  $\{e^{inx}\}_{-\infty}^{+\infty}$  is stable in  $L_2(-\pi, \pi)$  in the sense that the system  $\{e^{i\lambda_n x}\}_{-\infty}^{+\infty}$  will always form a Riesz basis for  $L_2(-\pi, \pi)$  if  $|\lambda_n - n| \leq L < 1/4$ . M.I. Kadec [2], and R. M. Redheffer and R. M. Young [8] have shown  $1/4$  to be optimal.

The theory for sequences of cosines and sines appears to be less complete. Therefore, we first investigate such sequences in Section 2. We prove a theorem on the stability of the basis property of cosines and sines in  $L_p(0, \pi)$  ( $1 < p < +\infty$ ), which is a generalization of the corresponding theorem in [1], where only  $L_2(0, \pi)$  case was considered. At the same time we present an elementary proof.

### 1. Necessary notations, definitions and facts

By  $\|\cdot\|_p$  we denote the norm in the space  $L_p$ . Let  $\mathcal{E} = \{e_n\}_{n=1}^{\infty}$  be a basis in the space  $L_p$ . We denote by  $\mathcal{K}_p(\mathcal{E})$  the set of coefficients of the basis  $\mathcal{E}$ , i.e., the set of all sequences  $\{c_n\}_{n=1}^{\infty}$  of complex numbers, for which the series  $\sum_{n=1}^{\infty} c_n e_n$  is convergent in  $L_p$ . It is well known that, if we define linear operations coordinate-wise in  $\mathcal{K}_p(\mathcal{E})$  and for  $\{c_n\}_{n=1}^{\infty} \in \mathcal{K}_p(\mathcal{E})$  we take by definition  $\|\{c_n\}_{n=1}^{\infty}\| \stackrel{def}{=} \sup_N \left\| \sum_{n=1}^N c_n e_n \right\|_p$ , then  $\mathcal{K}_p(\mathcal{E})$  becomes a Banach space (see, e.g., [5]).

**Definition 1** Let  $\{\lambda_n\}_{n=1}^{\infty}$  be a sequence of real numbers. The sequence  $\{\lambda_n\}_{n=1}^{\infty}$  is called separated if there exists  $\varepsilon > 0$  such that  $\inf_{\substack{n, k \in \mathbb{N} \\ n \neq k}} |\lambda_n - \lambda_k| \geq \varepsilon$ .

**Definition 2** A system  $\{f_n(x)\}_{n=1}^{\infty}$ ,  $f_n \in L_p(a, b)$  is called  $q$ -Hilbert system in the space  $L_p(a, b)$  if there exists  $m > 0$ , such that for every finite system  $\{c_n\}$  of complex numbers

$$\left( \sum_n |c_n|^q \right)^{1/q} \leq m \cdot \left\| \sum_n c_n f_n \right\|_p,$$

where  $\frac{1}{p} + \frac{1}{q} = 1$ .

It follows from the theorem of Riesz that, in case  $1 < p \leq 2$  every uniformly bounded and orthonormal system of functions in  $L_p(a, b)$  is  $q$ -Hilbert system in the space  $L_p(a, b)$  [12].

**Lemma 1** Let  $\{\lambda_n\}_{n=1}^{\infty}$  be a sequence of real numbers. If the system  $\{\cos \lambda_n x\}_{n=1}^{\infty}$  is  $q$ -Hilbert system in the space  $L_p(0, \pi)$ ,  $1 < p < \infty$ , then the sequence  $\{\lambda_n\}_{n=1}^{\infty}$  is separated.

**Proof.** Since  $|\cos \lambda_n x - \cos \lambda_k x| \leq \pi \cdot |\lambda_n - \lambda_k|$  and the system  $\{\cos \lambda_n x\}_{n=1}^{\infty}$  is  $q$ -Hilbert system, we have

(for  $n \neq k$ )

$$\begin{aligned} 2^{1/q} &\leq m \cdot \left( \int_0^\pi |\cos \lambda_n x - \cos \lambda_k x|^p dx \right)^{1/p} \leq \\ &\leq m \cdot \left( \int_0^\pi \pi^p |\lambda_n - \lambda_k|^p dx \right)^{1/p} = m \cdot \pi^{1+1/p} |\lambda_n - \lambda_k| \end{aligned}$$

which demonstrates that  $\{\lambda_n\}$  is separated. □

For the proof of our main theorem (Theorem 4) we will need the following results.

**Lemma 2** *Let  $\{e_i\}_{i=1}^\infty$  be a basis of the Banach space  $B$ . If an arbitrary finite number of elements are replaced by other elements of the space  $B$ , then the new system is either basis of  $B$ , or is neither complete, nor minimal in  $B$ .*

**Theorem 1** ([3], [4], [10]) *If the system  $\{e^{i\lambda_k x}\}$  is complete in  $L_p(-a, a)$  or in  $C[-a, a]$ , and if an arbitrary number  $n$  of functions are removed from this system and replaced by  $n$  other functions  $e^{i\mu_j x}$  ( $j = 1, 2, \dots, n$ ) where  $\mu_1, \mu_2, \dots, \mu_n$  are arbitrary different complex numbers not equal to any  $\lambda_k$ , then the new system will be complete in the same sense as the original system.*

**Theorem 2** ([10]) *Let  $\{\lambda_n\}_{n=1}^\infty$  be an arbitrary sequence of complex numbers, such that  $\lambda_n \neq 0$ ,  $\lambda_n \neq \lambda_m$  for  $n \neq m$  and  $-\lambda_m \notin \{\lambda_n\}_{n=1}^\infty$  for all  $m$ . The system  $1 \cup \{\cos \lambda_n t\}_{n=1}^\infty$  (respectively  $\{\cos \lambda_n t\}_{n=1}^\infty$ ) is complete in  $L_p(0, a)$  ( $1 \leq p < +\infty$ ) if and only if the system  $e^{\pm i\mu t} \cup \{e^{\pm i\lambda_n t}\}_{n=1}^\infty, \mu \neq 0, \pm\mu \notin \{\lambda_n\}_{n=1}^\infty$  (respectively,  $\{e^{\pm i\lambda_n t}\}_{n=1}^\infty$ ) is complete in  $L_p(-a, a)$ .*

**Theorem 3** ([10]) *Let  $\{\lambda_n\}_{n=1}^\infty$  be an arbitrary sequence of complex numbers, such that  $\lambda_n \neq 0$ ,  $\lambda_n \neq \lambda_m$  for  $n \neq m$  and  $-\lambda_m \notin \{\lambda_n\}_{n=1}^\infty$  for all  $m$ . The system  $\{\sin \lambda_n t\}_{n=1}^\infty$  is complete in  $L_p(0, a)$  ( $1 \leq p < +\infty$ ) if and only if the system  $1 \cup \{e^{\pm i\lambda_n t}\}_{n=1}^\infty$  is complete in  $L_p(-a, a)$ .*

Theorems 1 and 2 imply the following result.

**Corollary 1** *If the system  $\{\cos \lambda_k x\}$  is complete in  $L_p(0, \pi)$  or in  $C[0, \pi]$ , and if an arbitrary number  $n$  of functions are removed from this system and replaced by  $n$  other functions  $\cos \mu_j x$  ( $j = 1, 2, \dots, n$ ), where  $\mu_1, \mu_2, \dots, \mu_n$  are arbitrary complex numbers such that  $\mu_i \neq \pm\mu_j$  for  $i \neq j, i, j = 1, 2, \dots, n$  and  $\mu_i$  are not equal to any  $\pm\lambda_k$ , then the new system will be complete in the same sense as the original system.*

Theorems 1 and 3 imply that Corollary 1 is also true for the system  $\{\sin \lambda_k x\}$ .

## 2. Stability of basisness of cosines and sines

**Theorem 4** Let  $\{\lambda_n\}_{n=0}^{\infty}$  and  $\{\mu_n\}_{n=0}^{\infty}$  be sequences of nonnegative real numbers with  $\lambda_i \neq \lambda_j$ ,  $\mu_i \neq \mu_j$ , for  $i \neq j$  and assume that, for some  $1 < p < \infty$  the inequality

$$\sum_{n=0}^{\infty} |\lambda_n - \mu_n|^{\alpha} < \infty$$

holds, where  $\alpha = \min(p, q)$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ . If  $\{\cos \lambda_n x\}_{n=0}^{\infty}$  is a basis in the space  $L_p(0, \pi)$  isomorphic to the basis  $\{\cos nx\}_{n=0}^{\infty}$ , then the system  $\{\cos \mu_n x\}_{n=0}^{\infty}$  is also a basis in  $L_p(0, \pi)$ , isomorphic to the basis  $\{\cos \lambda_n x\}_{n=0}^{\infty}$ .

**Proof.** First consider the case  $1 < p \leq 2$ . Then  $q \geq 2$  and  $\alpha = p$ . Denote  $\varphi_n(x) = \cos \lambda_n x$ ,  $\psi_n(x) = \cos \mu_n x$ ,  $n = 0, 1, 2, \dots$

Since

$$|\varphi_n(x) - \psi_n(x)| = |\cos \lambda_n x - \cos \mu_n x| \leq \pi \cdot |\lambda_n - \mu_n| \quad (1)$$

then

$$\|\varphi_n - \psi_n\|_p^p \leq \int_0^{\pi} \pi^p |\lambda_n - \mu_n|^p dx = \pi^{p+1} \cdot |\lambda_n - \mu_n|^p.$$

Due to the condition of the theorem, the series  $\sum_{n=0}^{\infty} |\lambda_n - \mu_n|^p$  is convergent, hence the series  $\sum_{n=0}^{\infty} \|\varphi_n - \psi_n\|_p^p$  is also convergent.

Since the system  $\{\varphi_n\}_{n=0}^{\infty}$  is a basis, isomorphic to the basis  $\{\cos nx\}_{n=0}^{\infty}$  in the space  $L_p(0, \pi)$ , then the set  $\mathcal{K}_p(\{\varphi_n\}_{n=0}^{\infty})$  coincides with the set  $\mathcal{K}_p(\{\cos nx\}_{n=0}^{\infty})$ :

$$\mathcal{K}_p(\{\varphi_n\}_{n=0}^{\infty}) \equiv \mathcal{K}_p(\{\cos nx\}_{n=0}^{\infty}) \stackrel{def}{=} \mathcal{K}_p.$$

According to the Hausdorff-Young theorem (see, e.g. [12]) we have

$$\exists M_p > 0, \quad \forall c = (c_0, c_1, \dots, c_n, \dots) \in \mathcal{K}_p :$$

$$\left( \sum_{n=0}^{\infty} |c_n|^q \right)^{1/q} \leq M_p \cdot \left\| \sum_{n=0}^{\infty} c_n \cos nx \right\|_p. \quad (2)$$

Since the bases  $\{\varphi_n\}_{n=0}^{\infty}$  and  $\{\cos nx\}_{n=0}^{\infty}$  are isomorphic, then

$$\exists K > 0, \quad \forall c = (c_0, c_1, \dots, c_n, \dots) \in \mathcal{K}_p :$$

$$\left\| \sum_{n=0}^{\infty} c_n \cos nx \right\|_p \leq K \cdot \left\| \sum_{n=0}^{\infty} c_n \varphi_n \right\|_p. \quad (3)$$

We fix a natural number  $m$  satisfying the condition

$$\sum_{n=m}^{\infty} \|\varphi_n - \psi_n\|_p^p < (2M_p K)^{-p}. \quad (4)$$

Consider the system  $\{f_n\}_{n=0}^{\infty} \subset L_p(0, \pi)$ :

$$f_n = \begin{cases} \varphi_n, & n = 0, 1, \dots, m-1, \\ \psi_n, & n = m, m+1, \dots \end{cases}$$

Inequalities (2), (3) and (4) imply that for any finite sequence  $(c_0, c_1, \dots, c_k)$ ,  $k \geq m$

$$\begin{aligned} \left\| \sum_{n=0}^k c_n (f_n - \varphi_n) \right\|_p &\leq \sum_{n=0}^k |c_n| \cdot \|f_n - \varphi_n\|_p \leq \\ &\leq \left( \sum_{n=0}^k |c_n|^q \right)^{1/q} \cdot \left( \sum_{n=0}^k \|f_n - \varphi_n\|_p^p \right)^{1/p} \leq \\ &\leq M_p \cdot K \cdot \left( \sum_{n=m}^k \|\psi_n - \varphi_n\|_p^p \right)^{1/p} \cdot \left\| \sum_{n=0}^k c_n \varphi_n \right\|_p \leq \frac{1}{2} \cdot \left\| \sum_{n=0}^k c_n \varphi_n \right\|_p. \end{aligned}$$

For  $k < m$  the truth of this inequality is obvious, since in this case  $\sum_{n=0}^k c_n (f_n - \varphi_n) = 0$ . According to Paley-Wiener theorem [11] the system  $\{f_n\}_{n=0}^{\infty}$  forms a basis in the space  $L_p(0, \pi)$ , isomorphic to the basis  $\{\varphi_n\}_{n=0}^{\infty}$ .

Now, replacing the functions  $f_0, f_1, \dots, f_{m-1}$  by the functions  $\psi_0, \psi_1, \dots, \psi_{m-1}$  and taking into account that  $\mu_i \neq \mu_j$  for  $i \neq j$ , from Corollary 1 and Lemma 2 we obtain that the system  $\{\psi_n\}_{n=0}^{\infty}$  is a basis in the space  $L_p(0, \pi)$ , isomorphic to the basis  $\{\varphi_n\}_{n=0}^{\infty}$ .

Now, consider the case  $p > 2$ . In this case  $q < 2$  and  $\alpha = q$ . Then it is known that  $L_p \subset L_q$  and there exists a constant  $C_p$ , such that for all  $x \in L_p$

$$\|x\|_q \leq C_p \cdot \|x\|_p. \quad (5)$$

We fix a natural number  $m$ , satisfying the inequality

$$\sum_{n=m}^{\infty} \|\varphi_n - \psi_n\|_p^q < (2M_q \cdot K \cdot C_p)^{-q} \quad (4^*)$$

(the inequality (1) and the condition of the theorem imply that in this case the series  $\sum_{n=1}^{\infty} \|\varphi_n - \psi_n\|_p^q$  converges).

As we did above, consider the system  $\{f_n\}_{n=0}^{\infty} \subset L_p(0, \pi)$ :

$$f_n = \begin{cases} \varphi_n, & n = 0, 1, \dots, m-1, \\ \psi_n, & n = m, m+1, \dots \end{cases}$$

From (2), (3), (5) and (4\*) we have

$$\begin{aligned}
 & \left\| \sum_{n=0}^k c_n (f_n - \varphi_n) \right\|_p \leq \sum_{n=0}^k |c_n| \cdot \|f_n - \varphi_n\|_p \leq \left( \sum_{n=0}^k |c_n|^p \right)^{1/p} \\
 & \times \left( \sum_{n=0}^k \|f_n - \varphi_n\|_p^q \right)^{1/q} \leq M_q \cdot \left( \sum_{n=m}^k \|\psi_n - \varphi_n\|_p^q \right)^{1/q} \cdot \left\| \sum_{n=0}^k c_n \cos nx \right\|_q \\
 & \leq M_q \cdot C_p \cdot \left( \sum_{n=m}^k \|\psi_n - \varphi_n\|_p^q \right)^{1/q} \times \left\| \sum_{n=0}^k c_n \cos nx \right\|_p \\
 & \leq M_q \cdot C_p \cdot K \cdot \left( \sum_{n=m}^k \|\psi_n - \varphi_n\|_p^q \right)^{1/q} \cdot \left\| \sum_{n=0}^k c_n \varphi_n \right\|_p \\
 & \leq M_q \cdot K \cdot C_p \cdot \frac{1}{2 \cdot M_q \cdot K \cdot C_p} \cdot \left\| \sum_{n=0}^k c_n \varphi_n \right\|_p = \frac{1}{2} \cdot \left\| \sum_{n=0}^k c_n \varphi_n \right\|_p .
 \end{aligned}$$

For  $k < m$  the truth of this inequality is obvious. Now applying the same arguments, that we have done for the case  $p \leq 2$ , we obtain that, the system  $\{\psi_n\}_{n=0}^\infty$  is a basis in  $L_p(0, \pi)$ , isomorphic to the basis  $\{\varphi_n\}_{n=0}^\infty$ . This completes the proof.  $\square$

In particular, for  $p = 2$  we obtain that, if the system  $\{\cos \lambda_n x\}_{n=0}^\infty$  is a Riesz basis in  $L_2(0, \pi)$  and the condition  $\sum_{n=1}^\infty |\lambda_n - \mu_n|^2 < \infty$  holds, then the system  $\{\cos \mu_n x\}_{n=0}^\infty$  also forms a Riesz basis in  $L_2(0, \pi)$ . This result was obtained in [1] by other methods.

Lemma 1 and Theorem 4 are true with  $\{\sin \lambda_n x\}$  in place of  $\{\cos \lambda_n x\}$  if, in Theorem 4 we replace “nonnegative” by “positive”. We omit the details.

### 3. Stability of bases of solutions to Sturm–Liouville equations

#### 3.1. The case of initial conditions $y(0) = 1, y'(0) = \sigma$

We consider the following Cauchy problem:

$$-y'' + q(x)y = \lambda^2 y, \quad 0 \leq x \leq \pi, \quad (6)$$

$$y(0) = 1, \quad y'(0) = \sigma, \quad (7)$$

where  $q(x)$  is an integrable function on  $[0, \pi]$  and  $\sigma$  is a constant. We denote by  $y(x, \lambda)$  the solution of the problem (6) – (7). We are interested in the question: for which sequences  $\{\lambda_n\}_{n=1}^\infty$  the system of functions  $\{y(x, \lambda_n)\}_{n=1}^\infty$  forms a basis in  $L_p(0, \pi)$ ,  $1 < p < \infty$ ? The answer to this question is given by the following theorem.

**Theorem 5** *The system of functions  $\{y(x, \lambda_n)\}_{n=1}^\infty$  forms a basis in the space  $L_p(0, \pi)$  if and only if the system  $\{\cos \lambda_n x\}_{n=1}^\infty$  forms a basis in the space  $L_p(0, \pi)$ .*

**Proof.** It is well known that the following representations are true:

$$y(x, \lambda) = \cos \lambda x + \int_0^x K(x, t) \cos \lambda t dt, \quad (8)$$

$$\cos \lambda x = y(x, \lambda) + \int_0^x L(x, t) y(t, \lambda) dt, \quad (9)$$

where  $K(x, t)$  and  $L(x, t)$  are continuous functions (see, e.g. [6]). If we denote by  $I + K$  and  $I + L$  the operators defined by the right hand sides of the equality (8) and (9) respectively, then it is clear that, the operator  $I + K$  is continuously invertible and  $(I + K)^{-1} = I + L$ . Now the validity of the theorem follows from the equality  $y(x, \lambda) = (I + K) \cos \lambda x$ .  $\square$

In particular, when  $p = 2$  we have that the system  $\{y(x, \lambda_n)\}_{n=1}^{\infty}$  forms a Riesz basis in  $L_2(0, \pi)$  if and only if the system  $\{\cos \lambda_n x\}_{n=1}^{\infty}$  forms a Riesz basis in  $L_2(0, \pi)$ . This result was obtained in [1] by other methods.

### 3.2. The case of initial conditions $y(0) = 1, y'(0) = \lambda$

Let  $y(x) = y(x, \lambda)$  be the solution of the Sturm–Liouville equation (6) with the initial conditions

$$y(0) = 0, \quad y'(0) = \lambda.$$

where  $q(x)$  is an integrable function on  $[0, \pi]$ .

**Theorem 6** *The system of functions  $\{y(x, \lambda_n)\}_{n=1}^{\infty}$  forms a basis in the space  $L_p(0, \pi)$  if and only if the system  $\{\sin \lambda_n x\}_{n=1}^{\infty}$  forms a basis in the space  $L_p(0, \pi)$ .*

**Proof.** The following representations are true:

$$y(x, \lambda) = \sin \lambda x + \int_0^x K(x, t) \sin \lambda t dt, \quad (10)$$

$$\sin \lambda x = y(x, \lambda) + \int_0^x L(x, t) y(t, \lambda) dt, \quad (11)$$

where  $K(x, t)$  and  $L(x, t)$  are continuous functions (see, e.g. [6]). If we denote by  $I + K$  and  $I + L$  the operators defined by the right hand sides of the equality (10) and (11) respectively, then it is clear that, the operator  $I + K$  is continuously invertible and  $(I + K)^{-1} = I + L$ . Now the validity of the theorem follows from the equality  $y(x, \lambda) = (I + K) \sin \lambda x$ .  $\square$

In particular, when  $p = 2$  we have that the system  $\{y(x, \lambda_n)\}_{n=1}^{\infty}$  forms a Riesz basis in  $L_2(0, \pi)$  if and only if the system  $\{\sin \lambda_n x\}_{n=1}^{\infty}$  forms a Riesz basis in  $L_2(0, \pi)$ . This result was obtained in [1].

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Ali A. HUSEYNLI  
Institute of Mathematics and Mechanics,  
National Academy of Sciences of Azerbaijan,  
9 F.Agayev str., AZ1141, Baku-AZERBAIJAN  
e-mail: aahuseynli@gmail.com

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