

NIZAMI

561784-EPP-1-2015-1-FR-EPPKA2-CBHE-SP

RESTRUCTURING AND DEVELOPMENT OF DOCTORAL STUDIES IN
AZERBAIJAN IN LINE WITH REQUIREMENTS OF HIGHER
EDUCATION AREA

WP 1.2 Report

State of the Art on Doctoral Studies in Azerbaijan

Baku State University
Khazar University

JANUARY 2016

CONTENT

FOREWORD	4
-----------------------	---

GENERAL INFORMATION

Higher Education System in Azerbaijan	5
----------------------------------------------------	---

1.1 OVERALL ORGANIZATION OF DOCTORAL EDUCATION

1.1.1. Admission.....	7
1.1.2. Foreigners as doctoral candidates.....	8
1.1.3 Tuition fees.....	8

1.2 DOCTORAL EDUCATION STRUCTURE

1.2.1 Program.....	10
1.2.2 Coordination.....	10
1.2.3 Academic Commissions.....	11
1.2.4. Internal Quality Control.....	11

1.3. DOCTORAL CANDIDATES.

1.3.1 Status.....	11
1.3.2 Rights.....	12
1.3.3 Duties / Obligations / Responsibilities.....	12
1.3.4 Systematic feedback collected from doctoral candidates.....	13

1.4. THESIS

1.4.1 Research Plan / Proposal.....	13
1.4.2 Language.....	13
1.4.3 PhD Supervisory Management.....	13
1.4.4 Required qualifications for supervisors.....	14
1.4.5 Rights and responsibilities of supervisors.....	14
1.4.6 Requirement or recommendation for minimum number of meetings with the supervisor(s).....	14
1.4.7 Procedures for dealing with supervisor-supervisee conflicts.....	14

1.5 THESIS SUBMISSION

1.5.1 Modalities (Thesis Monograph / Articles).....	15
1.5.2 Defense & exams. Parts of the Defense.....	15
1.5.3 Thesis approval.....	16
1.5.4 Jury composition.....	16
1.5.5 Results.....	17

1.6. RINICIPLES OF INNOVATIVE DOCTORAL TRAINING.....	18
1.7. RESEARCH EXCELLENCE (RE).....	18
1.8. INTERNATIONAL NETWORKING.....	19
Appendix A.....	20
Appendix B.....	21
Appendix C.....	23
ABBREVIATIONS	25
REFERENCES	26

FOREWORD

After joining the Bologna process in May 2005 in Bergen, Ministry of Education of Azerbaijan elaborated an Action Plan and by the appropriate Order of the Minister of Education “Plan of activities on implementation of the requirements of Bologna Declaration in higher education system of the Republic of Azerbaijan for 2006-2010” was adopted. This Plan stipulates modernization of multi-tier system of higher education, transfer to credit system, elaboration of new Diploma Supplement in compliance with UNESCO/Council of Europe.

The teaching and methodological leadership over all higher educational institutions functioning in the Azerbaijan Republic, regardless of its type of ownership and subordination, as well as supervision over quality of teaching and learning process at these institutions is conducted by the Ministry of Education. The Ministry of Education reports to the Cabinet of Ministers.

At today two phases of higher education as proposed in Bologna Declaration was implemented in Azerbaijan higher education system. At the first level of higher education, i.e. at bachelorship level a general course provides opportunity for gaining general scientific, humanities and specialised applied knowledge in the areas covering relevant fields of activity. The duration of full-time bachelor degree education is 4 years. The bachelor degree study is implemented according to teaching plans developed on the basis of standards relevant to certain areas (specialties) and approved by the Ministry of Education. Graduates of bachelor level write degree work and defend it before the State Attestation Committee.

Establishment of the second, i.e. master level of higher education in Azerbaijan was implemented during 1997-98 academic year. According to the Education Law of the Azerbaijan Republic, the most talented and promising specialists conferred with bachelor degree are retained in magistracy on a competitive basis. Graduates of higher educational institutions delivering only bachelor level can also apply for participation in competitions for magistracy. Magistracy is delivered full-time and part-time. The official duration of magistracy depends on master education programs and ranges between 1,5-2 (part-time 2,5) years subject to specialty. During the final semester of the magistracy the students defend master thesis.

There is an urgent need for Azerbaijan universities to revise the rules and content of organizing doctoral studies - the third level of high education in Azerbaijan within the Bologna process documents in terms of their consistency, especially in ensuring science and research provisions in relevance with EU standards, to fulfill the students' mobility - one of the basic provisions of Bologna process.

Figures (number of HEIs and others) in the document may contain minor inaccuracies due to the fact that the Education System of Azerbaijan Republic is still under extensive reform. Restructuring and mergers of HEIs and other changes are continuously implemented.

GENERAL INFORMATION

Higher Education System in Azerbaijan

- - ECTS fully adopted and Diploma supplement issued,
- Quality assurance mechanisms,
- At institutional level – quality assurance departments – self assessment, surveys, improvement oriented,
- At national level – Accreditation Unit at Ministry of Education (institutional and programmer accreditation)
- National Qualification Framework – in the process of development (possible cooperation?)

According to the “Law on Education” doctoral studies are presented as the highest level of higher education with two hierarchical levels of doctorate programs – PhD and Doctoral. The doctoral candidates fulfilling these programs are consequently given Doctor of Philosophy (in a certain field) and Doctor of Sciences degrees, respectively.

The **Ministry of Education** and the **Azerbaijan National Academy of Sciences** are the main bodies responsible for doctoral programmes. According to the presidential decree on the Improvement of the Educational System of the Republic of Azerbaijan (2000), the control of education quality control has been transferred to the Ministry of Education.

- The **Supreme Attestation Commission** is responsible for delivering the doctoral diplomas.
- The plan of recruitment and placement of doctoral candidates based on the orders of higher education institutions and scientific organizations is approved on the basis of opinions of Azerbaijan National Academy of Sciences and the Ministry of Education and are approved the Cabinet of Ministers of Azerbaijan.
- For the creation of Doctorates in HEIs and scientific organizations are analyzed their existing scientific potential and innovation in the field of data analysis on their performance.
- According to Law on Education of the Republic of Azerbaijan (1992), HEIs are autonomous. HEIs have the autonomy to determine the contents of education they provide and to draw up academic plans for the disciplines. However, HEIs need to ensure that they comply with the requirements of state educational standards with a view to maintaining certain knowledge standards.
- HEIs are also independent with regard to financial and management matters and can receive funding from private financial resources. In practise though, state HEIs are mostly financed by the state budget (approximately half of their budget), whilst the remainder is covered by tuition fees. The importance of state funding somehow limits the autonomy of HEIs. Private HEIs do not receive any public funding.
- It is possible to set up **joint doctoral programmes**. In that case, the candidate will generally undertake research on both institutions and will be supervised by two supervisors.

There are 53 HEIs in Azerbaijan, 39 of which are state owned, and the remaining 14 of them are private HEIs. State HEIs were mainly established during the Soviet period (although some of them began in post-Soviet times). Out of 39 state higher education institutions 20 were attached to the MoE and 17 to other Ministries. This situation has also been changed recently and those research institutes which once were under the authority of individual ministries were also shifted to the management of the MoE. Doctoral programs are implemented in 26 HEIs affiliated with the MoE and in all institutes of the National Academy of Sciences (ANAS).

According to Doctor of Philosophy (PhD) program the period of full time education is 3 years, and for part-time - 4 years. Concerning the Doctor of Sciences (DS) program, full-time education is 4 years, and part-time is 5 years. In exceptional cases, the term of study of all education forms can be extended according to respective legislation.

Appendix A provides some statistics on doctorate studies in the years 2010-2015, on HEIs and teaching staff.

Today, Azerbaijan has 135 professional doctorate studies programs which are endorsed by the law on “Classification of specialties in Doctoral studies” approved by the order No.65 dated March 15, 2012 of the Cabinet of Ministers of the Republic of Azerbaijan.

<http://edu.gov.az/upload/file/emr-no641-23.04.12.pdf>

Doctorate programs and the number of doctoral students enrolled to these programs always had some fluctuation in Azerbaijan. **The Appendix B** shows some statistical figures over a certain period of time.

The government still retains a centralized power to annually prescribe the number of doctorate programs to be allowed to carry about admission in each HEI. Further, it can make changes to the general number of doctorates to be admitted to a given HEI, thus the final quota of admission is decided only after endorsement by the Government of Azerbaijan (GoA).

Upon successfully graduating and defending the doctorate dissertation, doctoral candidates are awarded with a doctoral diploma issued by the Higher (Supreme) Attestation Commission (HAC)¹ under the authority of the President of Azerbaijan Republic.

Dissertations are defended in Dissertation Councils (DC) established by HAC, traditionally including certain HEIs and ANAS. The research potential of the institution serves as the major criterion to form the likely Council/s in the institutions. Those councils are responsible for the quality of dissertations. Currently, 5 various state institutions in Azerbaijan are entitled to hold commissions for awarding either/or PhD and DS degrees in different branches of doctorate programs, where the private HEIs are out of this picture. These are:

¹ http://www.azerbaijans.com/content_1812_en.html

- National Academy of Sciences (24 branch Institutes)
- Ministry of Education (14 state HEIs)
- Ministry of Health (total 5 points of Dissertation Councils: 2 institutes and + 3 Centers)
- Ministry of Agriculture (2 HEIs)
- National Aviation Academy (both Philosophy Doctor (PhD) and Doctor of Sciences (DS) degrees)

Azerbaijan has a total of 47 Dissertation Councils under the umbrella of above mentioned state organizations for holding PhD and DS dissertation defenses.

2. OVERALL ORGANIZATION OF DOCTORAL EDUCATION

2.1.1. Admission

Annual admission to Doctorate degrees and individual (independent) researchers along with supervision plans are usually presented to the Cabinet of Ministers of the Republic of Azerbaijan. The number of students to be admitted is usually defined by the Placement Order prepared by the institutions of MoE who have authority for doctorate programs and National Academy of Sciences of the Republic of Azerbaijan. The final admission plan is the subject of decision of the Cabinet of Ministers. It usually happens once a year (between January and February of each year).

According to current regulations, citizens of Azerbaijan with a Master's degree or a medical doctor and holding "specialist" diplomas are entitled to apply for post-graduate programmes on the basis of competition.

Persons who have completed a full course of doctoral studies (also, former post-graduate courses) can not be accepted in the same specialty again.

Candidats shall submit the following documents:

- Application (to the name of the institution or organization);
- employment history;
- autobiography;
- 2 photos (3x4 cm);
- Reference from the workplace;
- Payroll records for those with work experience;
- The list of published scientific works or essay on a chosen specialty;
- Higher education diploma duly certified copy (for citizens of Azerbaijan Republic who have been educated abroad, certificate of recognition of education documents);
- A copy of identity card

Those who wish to be admitted to doctoral studies should be take an exams on the subject of specialty , foreign language (except for the Russian language, the choice should be compatible with the free or qualifications) and philosophy.

The admission exams carry out the commission in the related content of at least two of the doctors of sciences or professors. In exceptional cases, for the some specialties in the content of admission Commission can be included PhDs (candidates), associate professors, experienced professionals on a foreign language.

Regarding the admission procedure, candidates applying for full-time positions have to pass written exams.

During collected equal points in the competition, preference is given to those who have published scientific work and got the higher point on specialty subject.

Academic councils of doctoral dissertation of higher education institutions and research organizations, no later than 1 month from the day of their decisions about admission of candidate confirm the supervisor and the topic of his/her dissertation work.

The number of doctoral students attached to the scientific supervisor must be of not more than 5 persons. This case regulated by the higher education institutions and research councils according to the official charter (regulations) of governing.

PhD students at least once a year certification pass in accordance with the approved regulations on certification determined by the higher education institutions and scientific organizations. The process of certification is determined by the heads of higher education institutions and research organizations. According to the results of certifications students continue their studies in the next academic year.

Doctoral academic councils of higher education institutions and research organizations, academic leaders, discuss to reports of PhD students from time to time.

2.1.2. **Foreigners as doctoral candidates**

Requirements for foreign candidates

- Foreign candidates are allowed to apply to Azeri universities. Before applying to a particular university, the foreign candidate generally attends language classes (i.e. Russian and English) for 6 months up to 1 year. Moreover, candidates need to hold a Master's degree diploma. In practise, universities check the validity of both the Master's and Bachelors' degrees, which is a lengthy and often bureaucratic process (i.e. 5-6 months on average).
- It is recognized that this lengthy check remains necessary in order to avoid counterfeit diplomas and unqualified candidates applying for PhD (such cases have been reported).
- The admission procedure includes one written exam (language exam) and one oral exam (literature of the language attended).
- Exams are assessed by a jury including 2-3 members of the faculty. Foreign candidates need to be in the country even before the jury makes public its decision (admit or reject the candidate's application).

1.1.3 **Tuition fees**

State universities offer two types of doctora

l positions:• **Free of charge positions:** these positions are subsidised by the state budget. Candidates who are already employed by the university where they are applying for a doctoral position can secure free positions. In order to benefit from these free positions, the university needs to be a financed by the Ministry of Education.

• **Paying positions:** candidates who do not work already at the state university where they are applying as well as other doctoral candidates need to pay the full tuition fees. Fees vary between 2,400 up to 3,000 euro/year, with some universities charging higher fees in some specialisation areas (e.g. law,international law, mathematics).

By way of example, at Baku State University, 90% of all doctoral candidates are charged the full tuition fees and only 10% of candidates benefit from free tuition.

Regarding the scholarship, doctoral candidates studying full-time and benefitting from free of charge positions receive a state allowance of 90 euro/month. Candidates not enrolled on a full-time basis do not receive any allowance.

No other research allowance/grants are available. The majority of doctoral candidates work in order to cover their living/research expenses (i.e. at least 50% of doctoral candidates). It is widely recognised that doctoral programmes tend to be self-funded.

2.2. DOCTORAL EDUCATION STRUCTURE

The existing law on Doctorate studies envisages the education towards Doctorate degrees on both levels to be carried out on paid and unpaid (funded by the state) basis. Doctoral studies are conducted on the basis of PhD and Doctor of Science requirements which are reflected in the law “Establishment of doctoral studies and admission rules” of 01 July 2010 according to which minimum mandatory courses include the following (without successfully passing these, the doctorate students cannot be recommended for defense):

Mandatory Courses

1. Philosophy (for independent Dissertation Researchers only)
2. Informatics (Computer Science)
3. English language
4. Azerbaijani language (for foreigners only)
5. Major
6. Compliance exam (or a Complimentary Subject)

The classes and examination on Informatics are organized and held in a centralized way by a government institution – the Institute of Information Technology of the Azerbaijan National Academy of Sciences, but not by each HEI. Depending on a PhD researcher’s actual level of IT skills and knowledge, researchers’ Informatics classes may vary in duration. Students may take either a three-month, a one-month or a five-day course. Researchers with advanced IT skills and knowledge usually prefer to choose the IT courses with the shortest term. This is decided by the researcher him/herself upon agreement with the management of the Institute of Information Technology.

If a PhD researcher’s previous educational background (Master MA or MSc Master of Science) is different from the one chosen for PhD studies, then one (or two) additionally recommended subjects need to be taken and tested by a Compliance Exam.

Persons admitted to a PhD program on a full-time, part-time basis, or through Individual (Independent) Research define a theme and title for their future thesis and supervisor to be approved by the Republican Council on Organization and Coordination of Scientific Researches (RCOCSR) organized in different fields. This unit may accept, render some amendments or decline the chosen theme on reasonable grounds. Assignment of scientific supervisors to dissertation writers is usually concluded by signing a mutual agreement document between the doctorate entity and supervisor (if the supervisor is outsourced) along with a consent paper. Signing the consent paper by future supervisors is

mandatory for all cases and for all types of doctorates. Alterations made in agreement documents should also be documented by the respective parties.

Doctorate degree education programs (curricula) are approved by the Ministry of Education of the Republic of Azerbaijan or the Presidium of the National Academy of Sciences of the Republic of Azerbaijan. We do not have consistent programs in this field for the whole of Azerbaijan and the individually prepared programs are the subject of further interagency discussions among the implementing agencies dealing with admission programs for doctorates.

Syllabi are prepared for qualifications of PhD programs and are approved by the respective institution.

Curriculum of Doctor of Sciences consists of only research topics.

Research-methodical supervision and monitoring of the activity of doctorate departments established within institutions are implemented by the Ministry and organization they are attached to.

Those who have finished doctoral studies and fulfilled the requirements which are imposed on dissertations are usually allowed to defend their dissertations for the respective academic degree.

Those who have finalized their dissertations before the due educational term has phased out and have also fulfilled all necessary requirements (articles, preliminary departmental positive references, supervisor's positive opinion and reference, etc.) may present their dissertation to the appropriate Dissertation Council for future procedures.

Each person can only complete one state-funded education for a doctorate degree (including through Individual Independent Research).

2.2.1. Program

It is usually prepared by the relevant departments of the doctoral programs and supervised internally (by Division/Dean of the Graduate Studies) and externally by MoE, ANAS and HAC. The latter usually checks that the mandatory study courses are fulfilled before the defense and before awarding the scientific degree of PhD. For DSs there are not subjects to be learnt except research for the dissertation.

2.2.2. Coordination

Coordination of doctorate programs is usually done by the assigned units at the HEIs and ANAS (Department/Division of Graduate Studies or in some cases by the Vice Presidents of the HEIs for Science and Innovations).

Appendix C provides details on organizational structure of ANAS

2.2.3. Academic Commissions

The institution establishes the admission commission for doctorates which is chaired by the head of the institution or vice-head/s on scientific research works. The admission commission includes the heads of departments, divisions, sections, labs and leading specialists.

The suitability of the admitted person is checked by the commission against appropriateness of the specialties to the chosen area of the doctorate program.

2.2.4. Internal Quality Control

A PhD candidate can appeal to the Division of Graduate Studies or head of the institution in case of conflicts with his/her supervisor. There is a charter defining the duties and rights of the doctoral candidate and the supervisor. Also, apart from the legal supervisor a doctoral candidate can have support of the chair of his/her department (during the discussion of the results of the research, publications and abstracts).

It is acknowledged that the quality of doctoral research varies significantly. This is due to the fact that some doctoral candidates do not undertake PhD for genuine research purposes but mostly to gain a “title”. Hence there are instances when the doctoral candidate would pay a third party to write his/her thesis.

Moreover, candidates do not have access to international journals, therefore it is difficult for them to benchmark their research. Publishing abroad also entails prohibitive costs for Azeri candidates;

Cooperation with foreign countries remains insufficient: this is mostly due to the fact that Azeri universities do not have the same resources for implementing joint degrees or exchange programmes;

Dire economic prospects after graduation: although unemployment as such is not a problem, the level of wages for doctoral graduates is a significant issue.

Salaries for supervisors and teaching staff are also low.

2.3. DOCTORAL CANDIDATES

2.3.1. Status

HEIs and Academic Councils of research organizations approve the topics of doctoral theses and admission to doctoral programs held by the admission commissions no later than 1 month from the date the decision is confirmed.

Admission to the doctoral program is formalized according to the Regulations governing the operation of higher education institutions or research organizations based on the approved plan.

The copy of the order about the admission to the doctoral program is the basis to terminate the employment contract between the employer and the person who has been admitted to the doctoral program leaving his/her occupation.

The topics of doctoral theses, duration of the study and the names of the supervisors are displayed in the admission order to the doctoral program and the candidates are informed about these. As a rule,

supervisors of doctorate candidates must be professors or have postdoctoral degree of “associated professors”.

2.3.2. Rights

The transfer of doctoral candidates from one institution to the other, as well as transfer from full time study to part-time or vice-versa, is carried out by the decision of higher education institutions or the Academic Councils of institutions according to the Regulations governing the operation of the same higher education institution or research organization.

Doctoral candidates have the right to use the libraries, laboratories and other appliances in a similar way as the academic staff of the academic organizations; they have also rights to travel or take part in expeditions for research purposes.

The heads of higher education institutions and research organizations shall assist doctoral candidates in carrying out their work plan and obtaining necessary materials concerning research work.

Full-time doctoral students who have won government scholarships are paid a certain amount of remuneration according to the legislation (PhD student – 121 AZN; DS – 242 AZN). The doctoral students who complete their academic work plan successfully can be awarded special scholarships funded by the research organizations themselves.

With the approval of supervisor/s, full-time doctoral students can be involved in academic teaching as 0.5 staff unit (a part time position) in higher education institutions and academic organizations.

2.3.3. Duties / Obligations / Responsibilities

During their studies, PhD students should:

- obtain deep knowledge of the relevant area;
- acquire ability to conduct research independently;
- learn methodology for conducting scientific research;
- fully implement individual work plan;
- take exams on field of study (including others making sure that it coincides with the main field of study), Informatics (Computer Science), and foreign language.
- publish research articles based on scientific research they conduct;
- approbate the results of the scientific research;
- complete his/her scientific research.

During their studies, DS students should:

- complete his/her doctoral thesis
- approbate the results of the research;
- submit his/her doctoral thesis to defense

2.3.4. Systematic feedback collected from doctoral candidates

Each doctorate periodically reports about the implementation of his/her Individual Work Plan in the meetings of departments, laboratories, divisions or sections. Doctoral candidates are also responsible to report for year-end procedure or attestation.

2.4. THESIS

The thesis subject is agreed between the supervisor and the doctoral candidate. Both the supervisors and/or the candidate can propose the thesis subject. The final decision belongs to the doctoral chair and it is also confirmed by the scientific council of the university. The thesis subject is eventually approved by the Azerbaijani Academy of Science.

2.4.1. Research Plan / Proposal

PhD dissertation must have at least three chapters along with subchapters, introduction, conclusion and the list of literature used (references). A DS dissertation must contain at least four chapters along with subchapters, introduction, conclusion and the list of literature (references).

2.4.2. Language

The language of the dissertation must be either Azerbaijani or Russian. The legislation admits that dissertations may be defended in other languages as well.

2.4.3. PhD Supervisory Management

Persons admitted to doctorate programs/PhD programs via full-time study, time-part, or through Individual Research are given topics to be approved by the Republican Council on Organization and Coordination of Scientific Researches (RCOCSR) organized on different fields of study (Decision on establishment of this unit and its Terms of Reference are made on the 22nd of December, 2009, No. 6/4 and 6/5). After the scientific supervisor/s sign the consent agreement paper the doctorate students/researchers may start their activity on specific topics.

The Academic Council of the Institutions discusses the proposed topics of dissertations and the assigned supervisor/s and makes its decision on future proceedings before sending them to the board of RCOCSR.

Candidates generally have only one supervisor, however it is possible to have several supervisors (the second will act as an advisor), if this is required by the thesis subject.

In order to qualify as a supervisor, one needs to be a professor²⁰ and have a degree of doctor of science. There is no specific training for supervisors, however their doctoral degree and research track record is taken into consideration. Supervisors can supervise candidates from the same university or from other universities.

As a general rule, supervisors cannot have more than five candidates per year. On average, supervisors would need to spend 50 hours/year for full-time candidates (and 100 hours/year for full-time doctoral

foreign candidates). The time required to spend with part-time candidates is only 25 hours (and 50 hours for part-time foreign candidates).

Although there is a general control mechanism for supervisors (e.g. they report every year and need to publish articles), there are no procedures for supervising supervisors or for controlling their work and involvement with doctoral candidates. .

2.4.4. Required qualifications for supervisors

Resolution on admission to doctorates states the theme of the dissertation and the name/s of assigned supervisor/s advisor/s, and the doctoral students are informed about this. Supervisors of doctorate students must hold the scientific degree of DS or professor. In exceptional cases the Academic Council of the institution can assign associated professor/s as supervisor/s in the relevant field of the program. If the topic of the dissertation is related to interdisciplinary subjects, then it is possible to assign two supervisors or one supervisor and one advisor out of which may hold the scientific degree of PhD.

2.4.5. Rights and responsibilities of supervisors

The Supervisor gives consultations and recommendations to doctorate students or individual (independent) researchers, monitors and supervises the endorsed individual working plan of a doctorate student to ensure it is consistent with programs and implemented in a timely manner; and ensures that the dissertation work is finalized within a defined time and with high quality.

The officially assigned supervisor is paid per each doctorate student in the amount endorsed by legislation.

In individual cases the Supervisor may offer some additional subjects to be studied by a doctorate student to be able to implement work related to the dissertation.

2.4.6. Requirement or recommendation for minimum number of meetings with the supervisor(s)

The current legislation of the Republic of Azerbaijan (article 3.30. of the law on “Establishment of doctoral studies and admission rules”) confirms that all doctorate students *at least once a year* should officially pass attestation, whereas the frequency of meetings of candidates and supervisors it is not clearly mentioned.

2.4.7. Procedures for dealing with supervisor-supervisee conflicts

In case of conflicts the head of institution may discuss the problem and the Academic Council of the Institution may change the supervisor on an as-needed basis. This procedure must be thoroughly documented according to existing legislation.

2.5. THESIS SUBMISSION

2.5.1. Modalities (Thesis Monograph / Articles)

PhD candidates must publish 5 articles covering the content of the dissertation out of which 1 must be published in international peer-reviewed scholarly journal. DS candidates must publish 20 articles covering the content of their dissertation out of which 3 must be published in international peer-

reviewed scholarly journals for the doctorates who study humanities and social science, and 6 for the doctorates who study natural sciences.

Candidates for DSs need 18 generalizing works and candidates for humanities and social sciences need a monograph in their fields of study. These also involve the relevant field patent certificate/s, certificate of discovery, articles published in the reports of international conferences and symposiums, theses and electronic publications. It is the decision of the Commission whether or not to consider the articles published in national conferences or symposiums and electronic publications.

During dissertation writing the candidate must accurately cite the sources and authors. This also includes the sources which are the product/s of collective work. Violation of these rules or plagiarism inflicts the withdrawal of the dissertation and rejection of the discussions, and a repeat defense is not organized.

2.5.2. Defense & exams. Parts of the Defense

- Discussion of the thesis at the institution where it is fulfilled;
- Recommending it to DC;
- Preliminary expertise by the leading agency;
- Preliminary defense;
- Final defense;
- Submissions to Commission.

The awarding procedure includes three exams as well as the defence of the thesis. Doctoral candidates need to pass three exams during the programmes (i.e. second have 5 publications in the country and 1-2 publications abroad. The defence of the thesis is a 2 stage process, as follows:

- First step: the thesis is firstly discussed at the chair level. Candidates need to pass the scientific seminar, which is the preliminary defence. The scientific seminar is held at the university. The jury is made of 25 members who are members of the same university, head of chairs, etc. At least three months (or even more) need to elapse between this step and the next step;
- Final defence: the thesis is defended in front of a jury of 25 members. All members need to have a doctoral degree and be professors. Members can be from the same university or from other institutions. On average, 60% of members are from the same university and 40% from other universities. Most of these members are different from those participating in the scientific seminar. Candidates have to deliver a 10 minute presentation of their thesis, after which they are asked questions. The vote of the jury is secret and the final decision is either a pass or a fail. The award/diploma is eventually certified by the Supreme Attestation

The dissertation is considered to be fully approved when the specialized DC adopts a decision about it. DC usually becomes valid if two thirds of the members are present in the defense process. The number of specialists present in DC for DS must be no less than three doctors of sciences by the same profile, for PhDs it is no less than two doctors of sciences. The decision of DC is considered valid when no less than two thirds of the members out of the same profile specialists vote for it.

DCs are defined as the *leading agencies* including only those who occupy outstanding places in the development of the appropriate academic field. The reference provided by them must reflect the value and scientific/practical importance achieved by the author in the dissertation and must recommend

further investigation using the concluding remarks drawn by the dissertation. The reference of the leading agency is endorsed by the head or vice-head of the leading organization. The written reference and the protocol of the meeting where this reference is adopted by the leading agency must be submitted to the DC and the copy of reference by the leading agency must be submitted to the candidate at least ten days before the defense. DC may revert the reference back to leading agency if it is not adhesive to the requirements of the ToR, or may change the leading agency.

The defense is conducted even if the references provided by the official opponents are negative. A doctoral candidate has the right to stop the defense process in the DC if the decision about the dissertation is not adopted.

Defense is public and open, but final approval by the DC is done by a closed voting system.

1.5.3. Thesis approval

Having finished the defense, DC holds a closed (secret) vote before which it holds an open vote among the members of DC to assign three persons out of the DC members as 3 representatives for the Counting Commission whose protocol is adopted by a simple majority of votes.

When the results of the voting process for awarding the scientific degree are positive, the DC adopts the final decision by simple majority of votes.

1.5.4 Jury composition

Having finished the defense, DC holds a closed (secret) vote before which it holds an open vote among the members of DC to assign three persons out of the DC members as 3 representatives for the Accounts Commission whose protocol is adopted by a simple majority of votes.

DCs assign two competent scientists, opponents (by their written consent) in the related field/s of education. One of the opponents may be the member of the DC. For PhDs two opponents are assigned. One of them must hold the title of DS, but another one may be a PhD. When DC is unable to assign opponents, it is done by the commission.

PhD supervisor is not permitted to be on the examination/assessment panel.

Yes, this is envisaged in legislation but panel members cannot come from the same institution or unit where the dissertation is fulfilled.

At the same time, the Heads of Research centers or institutions or enterprises, Rectors, or Vice-Rectors are not permitted to defend dissertations in the DCs which function in the same institution where they work.

Does the panel include assessors/examiners from other countries?

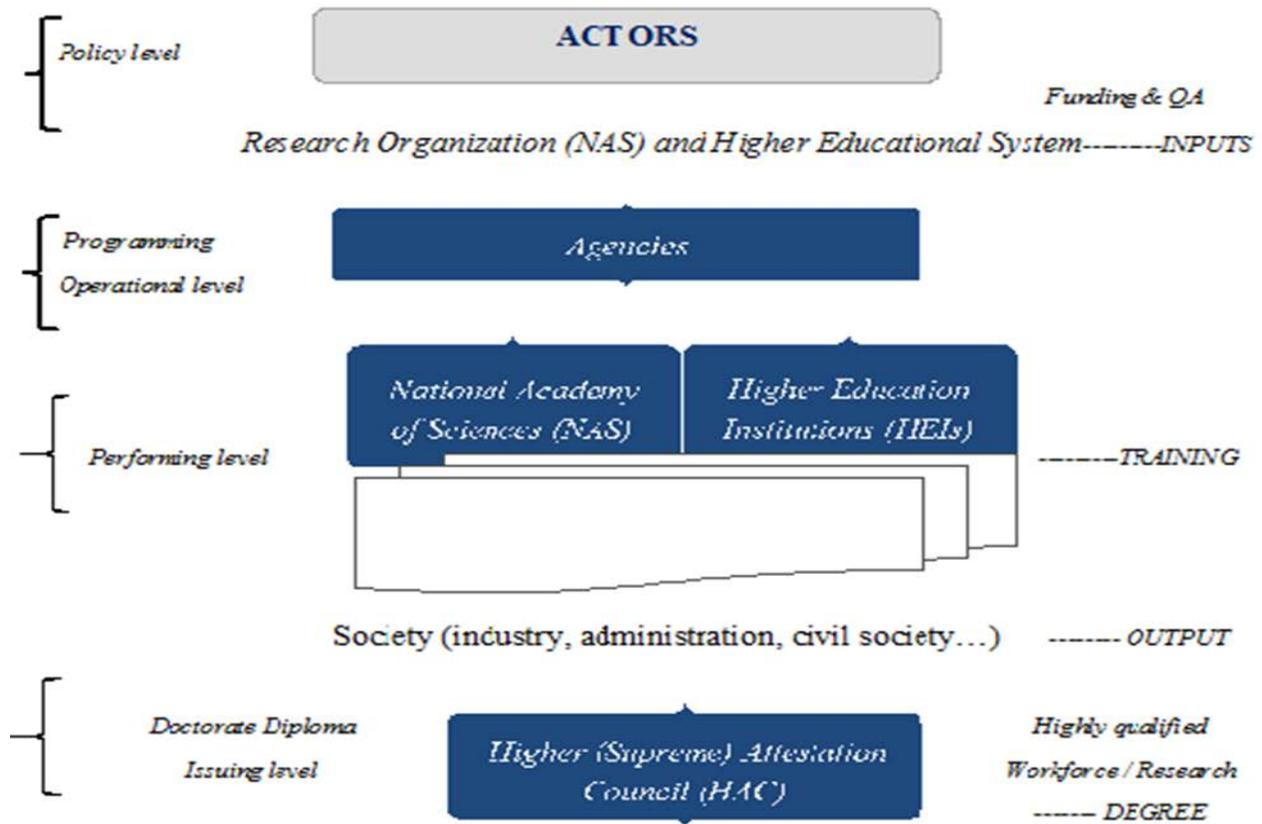
Under reasonable grounds, a one-time DC including foreign specialists can be created as a part of an existing DC for the defense of individual researchers as appropriate.

1.5.4. Results

Those who has successfully defended a thesis in the DC appointed by HAC are awarded doctoral diplomas by HAC. This is usually possible after the experts' (2) reviews arranged by HAC to conduct the authenticity of the thesis. DC also can decide not to award a degree or send the

dissertation back for additional expertise. All these cases are regulated by regulations established and endorsed by HAC.

ORGANIZATIONAL SCHEME OF DOCTORATE (AZERBAIJAN)



The diagram reflects the State of Art for Jan-2016

1.6. RINICIPLES OF INNOVATIVE DOCTORAL TRAINING

The following are the major principles of innovative doctoral training in Azerbaijan:

- All agencies offering doctorate studies have to be responsible for the programs and training offered which should guarantee and cultivate research habits and widen their professional and career opportunities;
- In PhD programs the *triangle of science-education-enterprise* should always be considered;
- As future contributors to science, all doctoral students should have some relevant rights;
- The research topics chosen, various agreements signed and evaluations of doctorate students' activities should be transparent;
- One of the essential features of a doctorate program is that it relays innovative experience, and considers the interagency cooperation;
- Doctorate programs should function within a fixed period of time (usually ranging from 3 to 5 years);
- These programs should create new skills and ensure the interdisciplinary of mobility of the doctorate students;
- Doctorate programs have some financial implications for increasing and ensuring quality of these programs (QA).

1.7. RESEARCH EXCELLENCE (RE)

Research Excellence is regarded as the new system for assessing the quality of research in higher education institutions and other research institutions. We consider that this is essentially measured by certain criteria which can somehow be feasible to measure.

First, one of the important uses of RE in doctorate studies is *Assessment level of the doctorate programs* and increase evidence on the improved research performance. Unfortunately, we do not yet have sufficient statistics referring to this. This area is an expected method for future activities by governmental as well as by non-governmental agencies.

Second, another indicator of RE is connected with the *Diversity of doctoral programs* which is not a high indicator. In fact, depending on the types of programs, all types and forms of research (applied, basic, practice-based and strategic research) are done more individually than collaboratively, and are less interdisciplinary and multidisciplinary research, excepting the case within the research framework of International programs such as TEMPUS, ERASMUS, FP7, HORIZON 2020, Jean Monnet etc.

Third, the RE in Azerbaijan doctorate schools is connected with their *Impact level* on the country's economy, public policy and services, health, society, culture and eventually the environment and quality of life. We must be involved in joint local and international projects to have productive results. This factor is also still not visible on a high level in Azerbaijan, excepting some cases of investigations carried out on the subject of nanotechnology (Baku State University), some institutions including ANAS in the field of radiology, Khazar University (cell biology and petroleum engineering) and some other institutions in chemical engineering and environmental studies which reflect the universities' productive engagements.

In the case of Azerbaijan, themes of dissertations are taken from the scientific research plans of the educational institutions and scientific organizations, but the suggestions of doctoral candidates and of their supervisors can also be taken into account.

We still need to do a great deal of work to generate more research outputs that are internationally and locally recognized.

1.8. INTERNATIONAL NETWORKING

Azerbaijan's education system is growing rapidly through integrative networks, especially since September 2005 when the MoE of the Republic of Azerbaijan signed a membership agreement with European Higher Education Area in Norway. In addition, Azerbaijan's doctoral programs in any institution do not have a permanent membership with international partners yet, excepting the various project frameworks of international networking (such as TEMPUS, ERASMUS) in certain areas of research and analysis. This situation is much better in the first and second levels of higher education.

First, we assume that doctoral programs can become eligible for membership if they *develop regulations* in accordance with the existing European standards for doctoral regulations. Second, the regulations of the international partnerships/networks to be established must include the following:

- Requirements for admission
- Application and selection procedures
- Standard components of doctoral program
- Duration of the doctoral program,
- Language(s)
- Organization of the program and responsibilities
- Key competences to be acquired

Third, for successful completion, *exemplary curriculum and requirements* must be fulfilled;

Fourth, there should be agreed upon sample of the supervision agreement, certificate and transcript of records;

Fifth, programs to have internationally network system should be able to provide the sustainability;

Finally, the accepted programs must have a comprehensive and systematic evaluation system.

International networking of Azerbaijan's doctoral programs will need inter-university cooperation agreements. These will also need to include the membership in the European Doctoral Programs Association.

Appendix A

Education
Doctorate (at the end year)

Indicator	2010	2011	2012	2013	2014	2015
Number of institutions conducting training program for PhD (<i>unit</i>)	95	103	103	105	108	0
Number of persons who studied in training program for PhD (<i>person</i>)	786	897	0	2,070	2,400	0
Admission to training program for PhD (<i>person</i>)	5	677	814	625	629	0
Graduates from training program for PhD (<i>person</i>)	455	396	0	131	377	0
Number of institutions conducting training program on doctors of science (<i>unit</i>)	26	74	74	74	64	0
Number of persons who studied in training program for doctors of science(<i>person</i>)	91	185	411	426	535	0
Admission to training program for doctors of science (<i>person</i>)	13	168	0	134	129	0
Graduates from training program for doctors of science (<i>person</i>)	12	10	44	7	50	0

Appendix B
Doctorate (year-end figures)

Indicator	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
Number of institutions conducting training program for PhD (<i>unit</i>)	84	83	83	83	81	83	84	83	86	88	94	96	96	94	95	95	103	103
Number of persons studying in training program for PhD (<i>person</i>)	1282	1306	1347	1218	907	963	982	1059	1178	1318	1479	1705	1681	1636	1084	786	897	1601
Admission to training program for PhD (<i>person</i>)	367	386	303	253	151	367	292	303	398	470	503	5550	452	455	51	5	677	814
Graduates from training program for PhD (<i>person</i>)	339	307	274	368	418	321	244	202	260	320	317	340	431	503	593	455	396	0
Number of institutions conducting training program on doctors of science (<i>unit</i>)	15	23	19	25	23	21	16	17	19	20	21	19	24	31	32	26	74	74
Number of persons studying in training program for doctors of science (<i>person</i>)	38	65	78	83	55	47	40	58	57	68	80	80	83	93	64	91	185	411
Admission to training program for doctors of science (<i>person</i>)	18	19	19	23	10	9	17	18	14	14	18	17	19	22	20	13	168	219

Graduates from training program for doctors of science (<i>per son</i>)	5	6	6	18	36	22	7	7	15	6	8	11	20	25	43	12	10	44
---------------------------------------------------------------------------	---	---	---	----	----	----	---	---	----	---	---	----	----	----	----	----	----	----

I. Unit of Physical Mathematical and Technical Sciences

1. Institute of Physics
2. Institute of Control Systems
3. Institute of Mathematics and Mechanics
4. Institute of Radiation Problems
5. Shamakhy Astrophysical Observatory named after N. Tusi
6. Institute of Information Technology

II. Unit of Chemical Sciences

1. Institute of Petrochemical Processes named after Academician Yu. G. Mamedaliyev
2. Institute of Catalysis and Inorganic Chemistry named after academician M .F. Nagiyev
3. Institute of Chemistry of Additives after Academician A. M. Guliyev
4. Institute of Polymer Materials
5. Special Technology Bureau of "Additive" Experimental Production

III. Unit of Earth Sciences

1. Institute of Geography named after acad. H. Aliyev
2. Institute of Geology and Geophysics

IV. Unit of Biological and Medical Sciences

1. Institute of Molecular Biology and Biotechnologies
2. Institute of Botany
3. Institute of Zoology
4. Institute of Physiology named after A.I. Karaev
5. Institute of Microbiology
6. The Central Botanical Garden
7. Institute of Dendrology
8. Institute of Traumatology and Orthopedics
9. Institute of Ophthalmology named after Z.Aliyeva
10. Institute of Pediatrics named after T.K.Farajov
11. Institute of Medical Rehabilitation
12. Institute of Cardiology named after T.Abdullayev
13. Institute of Lung Diseases
14. Institute of Obstetrics and Gynecology

Institute of hematology and Blood Transfusion named after B.Eyvazov

V. Unit of Agrarian Sciences

1. Institute of Soil Science and Agro Chemistry
2. Institute of Genetic Resources
3. Institute of Irrigation and Erosion

VI. Unit of Humanitarian Sciences

1. Institute of Manuscripts named after M. Fizuli
2. Institute of Architecture and Art
3. Institute of Folklore
4. National Museum of Azerbaijan Literature named after Nizami
5. Institute of Literature named after Nizami
6. Institute of Linguistics named after Nasimi
7. Huseyn Javid's Memorial Flat

VII. Unit of Social Sciences

1. Institute of Archaeology and Ethnography
2. Institute of Philosophy and Law
3. Institute of Economy
4. Institute on Human Rights
5. Institute of World Politics
6. Institute of the History of Science
7. Institute of Caucasian Studies
8. National Museum of Azerbaijan History
9. Institute of History named after A. Bakikhanov
10. Institute of Oriental Studies named after Academician Z. Bunyadov

ABBREVIATIONS

CD – Compact Disc

DC- Dissertation Council

DS - Doctor of Sciences

ECTS – European Credit Transfer System

EU – European Union

GA – Government of Azerbaijan

HAC – Higher Attestation Committee

HQ – Headquarter

IELTS – International English Language Testing System

MA – Master of Arts

MOE – Ministry of Education

MSc – Master of Science

NGO - Non-Governmental Organization

PhD – Philosophy doctor

QA & QS – Quality Assurance and Quality Control

RCOCSR - Republican Council on Organization and Coordination of Scientific Researches

TOR – Terms of Reference

TOEFL – Test of English as a foreign Language

REFERENCES

1. <http://www.azstat.org/MESearch/details>
2. http://www.azerbaijans.com/content_1812_en.html
3. ANAS- <http://www.science.gov.az/>

4. Bulletin of the Higher Attestation Commission under the President of the Republic of Azerbaijan http://www.aak.gov.az/yeni_bulleten.pdf
5. Law on Education of the Republic of Azerbaijan <http://edu.gov.az/az/page/72/302>
6. EU Charter & Code <http://ec.europa.eu/euraxess/index.cfm/rights/whatIsAResearcher>
7. Decision no. 075 of 23 April 2010 on “National Standard and Program of Higher Education” <http://edu.gov.az/az/page/299/1722>
8. Decision no. 082 of 29 April 2010 on “Framework of and Regulations for Awarding National Educational Documents” <http://www.cabmin.gov.az/?/az/pressreliz/view/1331/>
9. Decision no. 098 of 27 May 2010 on “Benefit Scale for Academic Staff Salaries at Public and Municipal Educational Institutions Based on Scientific Degrees and Titles” <http://www.e-qanun.az/framework/28131>
10. Decision no.120 of 25 June 2010 on “Application of New Financing Mechanism at Higher Educational Institutions <http://www.cabmin.gov.az/?/az/pressreliz/view/830/>